



CONFLICT OF INTEREST AND DISCLOSURE STATEMENTS

September 2025 - September 2026

AMERICAN ASSOCIATION OF ORAL AND MAXILLOFACIAL SURGEONS

INTRODUCTION

It is the policy of the American Association of Oral and Maxillofacial Surgeons, as expressed in Section I, General Policies, of AAOMS' Governing Rules and Regulations, that individuals who serve in elective, appointive or employed offices or positions do so in a representative or fiduciary capacity that requires loyalty to the Association. At all times while serving in such offices or positions, these individuals shall further the interests of the Association as a whole. In addition, they shall avoid: (a) placing themselves in a position where personal or professional interests may conflict with their duty to the American Association of Oral and Maxillofacial Surgeons; (b) using information learned through such office or position for personal gain or advantage; and (c) obtaining by a third party an improper gain or advantage.

The Conflict of Interest and Disclosure Statements request detailed information in order for the Association to make more precise judgments about potential conflicts. The intent and purpose is to avoid total disqualification of an individual whenever possible, while giving more guidance to individuals who complete the questionnaire. This, in turn, will allow individuals to continue serving the Association except in those areas where there is a specific conflict. Thus, answering "yes" to many or even most of the questions will not automatically disqualify the individual. Indeed, in many instances it is important to have individuals who have a certain level of expertise which can only be attained by affiliations with other organizations or businesses. Similarly, to avoid even the appearance of impropriety, an elected, appointed or employed individual for AAOMS should always disclose any personal relationship which might affect a vote or decision affecting AAOMS.

On the final page of this Statement, there are examples of potential conflicts of interest and what needs to be done to address the conflict. These examples illustrate that affiliations with some organizations or businesses should be avoided when there are fundamental differences with reference to AAOMS policy or business activities (see Examples 1 and 2). However, affiliations with other organizations or businesses may be permitted if the potential conflicts are not so pervasive and the volunteer or employee can resolve the conflict by simply not participating on that particular matter. (See Examples pages 5 and 6).

In signing both statements, individuals acknowledge that failure to disclose a potential conflict of interest or financial relationship, may subject them to: (1) a vote of no confidence by the Board of Trustees; (2) removal from their position at AAOMS; and (3) referral of a complaint to the AAOMS Commission on Professional Conduct.

All statements must be signed and delivered to AAOMS Headquarters by a date determined each year. The statements will be maintained in confidence at AAOMS Headquarters.

Financial Relationships Disclosure Form

For Faculty, Authors, Committee/Board Members, and Staff

Organizations accredited by the American Dental Association Continuing Education Recognition Program (ADA CERP) and Accreditation Council for Continuing Medical Education (ACCME) are required to identify and mitigate all potential conflicts of interest/relevant financial relationships with any individual in a position to influence and/or control the content of CDE/CME activities. A conflict of interest/relevant financial relationship will be considered to exist if: (1) the individual, individual's spouse/partner, or other immediate family member has a 'relevant financial relationship,' that is, he/she has received financial benefits of any amount, within the past 24 months, from a 'commercial interest/ineligible entity' (an entity producing, marketing, selling, re-selling, or distributing health care products used by or on patients), and (2) the individual is in a position to affect the content of CDE/CME regarding the products or services of the commercial interest/ineligible entity.

All individuals in a position to influence and/or control the content of AAOMS CDE/CME activities are required to disclose to the AAOMS, and subsequently to learners: (1) any financial relationship(s) they have with a commercial interest/ineligible entity within the past 24 months, or (2) if they do not have a financial relationship with a commercial interest/ineligible entity. PLEASE DISCLOSE ALL FINANCIAL RELATIONSHIPS. AAOMS WILL DETERMINE IF ANY RELATIONSHIPS ARE RELEVANT TO THE ACTIVITY.

For all faculty participating in the educational activity, disclosure and mitigation must occur before presentations are made or enduring materials finalized. Faculty are required to complete and return disclosure forms no later than 60 days prior to the presentation of the educational activity.

Failure to provide disclosure information in a timely manner prior to the individual's involvement will result in the disqualification of the potential Faculty, Author, Committee/Board Member, or Staff, from participating in the CDE/CME activity.

Name:

Title: AAOMS CDE/CME Activities

Please check one to indicate your role:

Committee Member (specify:

CIG Officer

Board of Trustees

DISCLOSURE OF FINANCIAL RELATIONSHIPS WITHIN 24 MONTHS OF DATE OF THIS FORM					
Neither I, nor my spouse/partner, nor any other member of my immediate family, has a financial relationship or interest (Currently or within the past 24 months) with any entity producing, marketing, selling, re-selling, or distributing health care products used by or on patients.					
OR					
I have or my spouse/partner or other immediate family member has a financial relationship or interest (currently or within the past 24 months) with any entity producing, marketing, selling, re-selling, or distributing health care products used by or on patients. The financial relationships are identified as follows (if needed, attach an additional list):					
Commercial/Ineligible Interest(s) (Any entity producing, marketing, selling, re-selling, or distributing health care products used by or on patients.)	FINANCIAL RELATIONSHIP(S) (Check all that apply)				
	Research Grant (Including funding to an institution for contracted research)	Speakers' Bureau	Stock/Bonds (Excluding Mutual Funds) *Indicate Private or Public Stock	Consultant	Other (Identify)

COMPLIANCE WITH CONFLICT OF INTEREST AND DISCLOSURE POLICY

Please complete all questions to the best of your knowledge. You may list your answers directly on this form or you may provide your answers on a separate sheet of paper.

The following terms used in this statement have the following meanings:

“AAOMS” means the American Association of Oral and Maxillofacial Surgeons and its subsidiaries and affiliates.

“Material financial interest” means: (i) a financial ownership interest of 5% or more, or (ii) a financial ownership interest which contributes materially to your income, or (iii) a position as proprietor, director, managing partner or key employee.

1. Are you now, or do you anticipate becoming within the next 12 months, a trustee, director, officer, committee or commission member, employee or consultant of any health care organization or health-related professional society?

No
Yes

If yes, please list the name of each organization, position held, and term of position, including the same information for any anticipated position. If the organization is not a nationally known organization, please provide a brief description of the organization.

2. Do you hold, or do you anticipate holding within the next 12 months, any faculty appointments?

No
Yes

If yes, please list the name of each institution, position held, and term of appointment.

3. Are you involved in, or do you anticipate becoming involved in, public representation and advocacy, or being a spokesperson for any product or company, including lobbying on behalf of any organization?

No
Yes

If yes, please list the name of each organization and describe the nature of the activities you are or will be involved in.

4. Are you involved in any other personal relationship, activity or interest which may raise a conflict of interest or impair your objectivity on writing articles on OMS issues for the *Journal of Oral & Maxillofacial Surgery (JOMS)* or other scientific journals or would affect your involvement in AAOMS policies or issues?

No
Yes

If yes, please describe each relationship, activity or interest.

I affirm that the foregoing information is complete and truthful, and I agree to notify AAOMS immediately if there are any changes or additions to my financial relationships. During my participation in these activities, I will wholly support AAOMS' commitment to conducting CME/CDE activities with the highest integrity, scientific objectivity, and without bias. I agree that I will not accept any honoraria, additional payments, or reimbursements beyond what has been agreed upon to be paid directly by AAOMS in relation to these educational activities.

I understand that I am expected to comply with the Conflict of Interest policy of the American Association of Oral and Maxillofacial Surgeons, and I agree that as a condition for serving as a member of the committee/commission, or as an employee, I will exercise particular care that no detriment to the American Association of Oral and Maxillofacial Surgeons will result from conflicts, if any, between my interests and those of the Association. In addition, I understand that in order for this Conflict of Interest policy to be effective, I have a continuing responsibility to comply with this policy and I will promptly disclose any new affiliations required to be disclosed under this policy. Having read and understood the Association's policy and having completed this statement to the best of my knowledge and belief, I agree to be bound by the terms hereof.

Name: _____

Date: _____

Signature: _____

Phone Number: _____

E-mail: _____

Committee/Commission on

Please note that this form covers all Commissions, Committees, Task Forces, and Representation activities that you are appointed to or may be appointed to during the appointment year even if not listed above.

Revised, reviewed, and adopted by the AAOMS Board of Trustees, 2023

EXAMPLES OF CONFLICT OF INTEREST AND DISCLOSURE STATEMENTS

Organizational goals that are in Conflict: It is axiomatic that a trustee, commission/committee member, spokesperson, consultant, or employee would be unable to act in the best interest of the AAOMS and another organization if the fundamental goals of the two organizations were in conflict. In these situations, the trustee, commission/committee member, spokesperson, consultant, or employee could not fulfill his or her duty of loyalty to the AAOMS.

EXAMPLE 1: A trustee, committee/commission member, spokesperson, consultant, or employee of the AAOMS is elected to the Board of Directors of a patient or medical organization that advocates that cosmetic procedures should only be performed by board-certified plastic surgeons with medical degrees.

EXAMPLE 2: A trustee, committee/commission member, spokesperson, consultant, or employee of the AAOMS is elected to the Board of Directors of an insurance association that advocates that insurance companies must be free to overrule the medical necessity decisions of doctors when treatment costs are “too high” and not “life threatening.”

RECOMMENDATION: Irreconcilable differences exist between the public health policies of AAOMS and both of these organizations. In order to further the goals of the organization, the AAOMS official or employee would have to breach his or her duty of loyalty to the AAOMS. The AAOMS official or employee should resign from one of the positions.

Goals on Major Policy Issues are in Conflict: A trustee, committee/commission member, spokesperson, consultant or employee may hold a position with another organization that generally strives to support the same dental public health/education/member advocacy goals of the AAOMS. Although the two organizations can be expected generally to support the same dental public health issues, there may occasionally be differences in the policy goals.

EXAMPLE 3: A trustee, committee/commission member, spokesperson, consultant, or employee is on the Board of Trustees of a Specialty Organization. The Specialty Organization takes the position that OMS accreditation should be done by the Specialty Organization and not the AAOMS through ABOMS.

EXAMPLE 4: A trustee, committee/commission member, spokesperson, consultant or employee also holds leadership or consulting position in a state or national dental association. While typically, AAOMS and ADA/state dental association positions will be in conformance, certain “issues management” or policy issues may arise where the two organizations are in conflict. For example, a state dental association may take a position that any general dentist should be allowed to perform any OMS procedure under a state dental license, including the administration of general anesthesia/conscious sedation. Another conflict has arisen in the past where the state OMS society advocates for legislative, regulatory or policy action that is opposed or not supported by the state dental association, e.g., legislation to advance the ADA Definition of Dentistry and protect contemporary scope of OMS practice or legislation to support the appointment of an OMS to the state dental board. Such positions may and have in the past conflicted with state dental association positions. While such conflicts are best handled with political sensitivity, in these situations, the individual with the conflict should advise the Board or Committee that the state dental association has a conflict with the policy position and should recuse himself/herself from consideration of the issue.

RECOMMENDATION: In Example 3, the difference is not whether there should be accreditation, but which organization should conduct the accreditation process. Thus, the difference involves only procedure, not the fundamental policy underlying accreditation. The AAOMS trustee, committee/commission member, spokesperson, consultant, or employee should not debate or vote on the issue of which organization should administer the accreditation process. The individual should advise the Board or Committee of his or her position in the Specialty Organization and recuse himself or herself from consideration of the accreditation issue. In this instance, recusal will be sufficient to satisfy the duty of loyalty to AAOMS.

Organizations with a Financial Interest in AAOMS Policy:

EXAMPLE 5: An AAOMS committee/commission member is a member of the Board of Directors of a dental manufacturer. The AAOMS committee is considering a policy that would have an adverse effect on the manufacturer.

RECOMMENDATION: The director of a corporation has an affirmative duty to promote the interests and financial well-being of the company and its stockholders. A member of an AAOMS committee, the committee member must be loyal to AAOMS and at all times while serving on the committee must further the interests of AAOMS as a whole. If the committee is responsible for reviewing the corporation’s products or services or there are other situations in which the

interests of the corporation and AAOMS divide, the committee member would be conflicted and should not participate in the vote on such issues.

Conflicts Involving Competing Business Activities:

EXAMPLE 6: A board member of AAOMS Services, Inc. is a member of the Board of Trustees of an organization that competes with one or more of the business activities of AAOMS Services, Inc. subsidiaries (dental publisher, affinity cards, etc.).

EXAMPLE 7: A committee or Board member must vote on awarding a contract to or granting an appointment to an individual who is a classmate from dental school.

RECOMMENDATION: In Example 6, the individual, as a member of both boards, will have access to proprietary information on products and services of not only AAOMS Services, Inc., but also the competing organization. If this person remains on both boards, he or she should not participate in the vote on any issue relating to competing or potentially competing products and services of AAOMS and its subsidiaries or affiliated organizations, and the competing corporation. The Board member may vote on other issues as long as the Board member makes full disclosure of his or her involvement in the competing business.

In Example 7, the individual must disclose the relationship and provide the Committee/Board with the opportunity to determine whether recusal from a vote affecting the individual is warranted. To avoid even the appearance of impropriety, generally, it is preferable for the individual not to vote on a matter affecting an individual to whom he has any personal connection, even if that connection would not be considered a close personal relationship, such as a family member or friend.